COUNSELING 618
Mental Health Ethics, Law and Professional Practice
3 Credits

INSTRUCTOR: Gianene Prentice, M.A. LIMHP, LPC
e-mail: gianene.prentice@doane.edu or gianene.prentice@doane.edu
cell phone: 402-617-3066

REQUIRED TEXT:
ISBN: 978-0-8400-2858-7

Research reviews as assigned

Other recommended study materials:
ISBN: 978-1-55620-315-7

The following required statutory/regulatory materials are available on the internet:

- Regulations Governing the Licensure of Mental Health Practitioners and the Certification of Marriage and Family Therapists, Professional Counselors, and Social Workers - 172 NAC 94
- Statutes Relating to the Uniform Licensing Law
- Statutes Relating to Mental Health Practice
- Statutes Relating to Alcohol and Drug Counseling
- Statutes Related to Medical Records
- Regulations Relating to Mandatory Reporting
- HIPPPA/HITECH Regulations related to confidentiality, privilege, and privacy
- APA Code of Ethics
- ACA Code of Ethics
- ASGW Code of Ethics
- AAMFT code of Ethics
- NAADAC Code of Ethics
- ASCA Code of Ethics
COURSE DESCRIPTION
This course examines the mission, goals and objectives of professional practice. Students will learn and apply codes of ethics, laws, and regulations applicable to counseling, and professional standards of performance in the area of client welfare; professional competence, professional development, personal wellness, and establishing limits and boundaries with clients and colleagues. Client confidentiality, responding to subpoenas, sensitivity to diversity, ethical problem solving models, credentialing, informed consent, standards that can be adapted to practice in a variety of service settings, and establishing professional relationships will be explored. Problem solving skills using ethical and best practice standards will be developed.

Course Objectives:

a. Heighten self-awareness by giving attention to personal assumptions, values, biases, strengths and limitations.
b. Examine the pervasive nature of ethical issues in therapeutic relationships.
c. Explore ethical decision models that lead to professional practice.
d. Examine the ACA ethical standards and five moral principles as applied to selected case studies.
e. Explore legal point of view and history of selected legal concepts from therapeutic practice.
f. Apply laws, regulations, ACA ethical code, moral principles, and client rights to a professional standard of care.
g. Discuss the difference between mandatory ethics and aspirational ethics.
h. Learn to make applications regarding confidentiality and privilege.
i. Develop a personal model of ethical behavior including personal values, choices, assumptions, and moral conviction.
j. Explore personal theoretical orientation and how it relates to ethics and the delivery of competent care.
k. Understand the components of professional competency and the steps professionals need to take to ensure that competency and professionalism are not compromised in practice.
l. Achieve competency in client education and the informed consent process.

Methods of Instruction
This will be an interactive course which includes an elevated level of classroom participation utilizing lecture and instruction, videos, quizzes, case studies, student presentations and article reviews. Students will be required to integrate content, knowledge, and application in practice. Active participation is essential to your learning. Due to the emphasis on experiential learning, lateness and absences cannot be made up. Written assignments are due on the date indicated in the course schedule. Students will lose 1 point for every day over the due date.

Basis for Student Evaluation
This is a graduate level course that uses collaborative activities as one of its learning methods. Anything other than your best effort will negatively impact not only you, but your classmates as well. Since this is a graduate level course you will be required to read all of the assigned material and know it well enough to apply it to situations that you can be sure will arise in your professional life. To aid students in becoming familiar with such application, the following will be required for a final grade in this course:
1. Student will be expected to **attend** all classes, come **prepared**, and **participate** in all discussions. The success of this course for each student depends on appropriate engagement with the materials presented either by the instructor or other students. After each class session the instructor will award up to 4 points for that particular session. Points will be awarded as follows: 2 points for attendance, 1 point for preparation, and 1 point for participation. An absence, no matter what the reason, will result in no points awarded for that session. **Total possible points to be awarded in this area: 36.**

2. Students will work in partnership or individually to develop a presentation for the class. This **presentation will address an ethical issue/dilemma related to the content of the assigned** readings and chapters for that week **and** include all of the components in the presentation outline which will be provided by the instructor. It is expected that the presentation reflect the use of ethical codes, laws, and research, related to the topic and include a stimulating learning activity for the class that focuses on an ethical dilemma. **This is not to be a review of the entire chapter,** but rather the selection of an issue related to the chapter with in depth exploration and learning activities related to that issue. Each student and/or team will be assigned a date to present and should have examples, handouts, and other materials that could be used as reference materials. **Students should provide paper copies for the instructor and classmates with an electronic copy being provided to the instructor prior to class. If working with a partner, team members will share equally in points awarded by the instructor. Total possible points to be awarded in this area: (20)**

3. There will be weekly quizzes during the term, each of which will focus on the reading from the required text and assigned readings for the week. Quizzes will be multiple choice, true/false and/or short answer which will be worth 5 points each. Each student will be allowed to take scores from the top 4 quizzes. **Total possible points to be awarded in this area: 20.**

4. Each student will produce a Professional Practice Manual (PPM) containing all of the following information and organized in the following manner:

   **Section 1 Ethics and Codes**
   a) Relevant codes of ethics for practice: APA, ACA, ASGW, AAMFT, ASCA, and NAADAC (6 points)
   b) A written plan **detailing** the ethical decision making model you plan to use and **why** you selected this model (4 points)
   **Total points of this section: 10 points**

   **Section 2 Laws and Statutes**
   1) Licensure statutes and regulations for Mental Health and Drug and Alcohol Counseling.
   2) State Standards for Involuntary Commitment (assisted treatment)
   3) State Statutes related to Mandatory reporting,
   4) State Statutes related to Mental Health Practice
   5) State Statutes related to Medical records,
   6) State Statutes related to Uniform licensing
   7) HIPPA/HITECH Regulations
   8) Subpoena checklist
   **Total points for this section: 8 points**
Section 3 Informed Consent

a) Outline an informed consent process including 1) a written document, 2) summary of a verbal explanation, and 3) a discussion of factors that may require the review of the consent contract during the course of counseling. Be sure that the process and document clearly define confidentiality, privilege and privacy AND the exceptions to confidentiality, privilege and privacy. Additionally the informed consent must contain all relevant items as outlined in class, in the grading rubric, and in the text or readings. In creating an Informed Consent document you should refer to reliable resources and the codes outlining the mandatory content for the document. Students will be required to author their own informed consent using best practice standards, ethical codes, and the recommendation of ethics scholars.

Total points for this section: 17 points

By creating this professional practice manual you will be establishing a valuable foundation for legal and ethical practice as a student and professional in the field. You will use the resources in this binder during the course as you evaluate cases and engage in problem solving and analysis of ethical dilemmas. All of the required content is available on the internet. The development of this binder will serve as a significant step toward thinking ethically about the application of yourself to what you “do” and how you behave in professional contexts. Developing resources that you periodically update and maintaining a clear ethical problem solving process are necessary for work in the mental health or substance abuse field.

Total possible points to be awarded for the PPM: 35

5. Each student will produce 7 literature or research reviews. The instructor may assign the menu for the review or the student may be asked to select the topic on their own. The articles will be related in some way to ethics, morality, and ethical decision-making and related to the chapter content assigned for the week. Students are expected to use journals or books as the primary source. If you are not sure if an article or sources you are interested in is suitable, ask the instructor. You will be asked to present the information from the review during the classroom discussions and you will need to provide your peers and the instructor with a written brief summary of the content and the reference information (noted in APA format). You will need to bring a copy of the article with you to class to refer to during the discussions, however copies of the article are not needed for your peers.

Total points awarded in this area: 14 (2 points per review)

6. A comprehensive final exam. The final exam will be a combination of multiple choice, true false, short answer and essay questions covering the content of the course, assigned articles, and the text. Total points to be awarded in this area: 35

Professional Development: Students will be required to complete a self-assessment upon completion of the course. The outline for this will be provided and the assessment will be due with the final exam. The final will be considered incomplete without the self-assessment and subject to loss of points for lateness. You will be expected to approach this in a thoughtful and self-reflective manner. One sentence responses will not be acceptable and may result in the self-assessment being returned to you and subject to loss of points for lateness.
There are **a total of 160 points possible in this course**. The following table represents the points required for final grade assignment:

<table>
<thead>
<tr>
<th>Points earned out of 160</th>
<th>Percentage of total</th>
<th>Final Grade Assignment</th>
</tr>
</thead>
<tbody>
<tr>
<td>160-156</td>
<td>98-100%</td>
<td>A+</td>
</tr>
<tr>
<td>155-145</td>
<td>91-97%</td>
<td>A</td>
</tr>
<tr>
<td>144-143</td>
<td>90%</td>
<td>A-</td>
</tr>
<tr>
<td>142-140</td>
<td>88-89%</td>
<td>B+</td>
</tr>
<tr>
<td>139-130</td>
<td>81-87%</td>
<td>B</td>
</tr>
<tr>
<td>129-128</td>
<td>80%</td>
<td>B-</td>
</tr>
<tr>
<td>127-125</td>
<td>78-79%</td>
<td>C+</td>
</tr>
<tr>
<td>124-114</td>
<td>71-77%</td>
<td>C</td>
</tr>
<tr>
<td>113-112</td>
<td>76-70%</td>
<td>C-</td>
</tr>
</tbody>
</table>

**Student Responsibilities**

In addition to 38 hours of classroom time, student should expect to spend a **minimum of 80** hours outside of the classroom reading, reviewing research, and preparing for in class group work. It is expected that:

- students will read course assignments and research articles assigned prior to the class session and be prepared to apply the concepts during discussions and the experiential portion of the class.
- students will be integrative learners and are expected to present questions and discussion related to the class readings and scholarly reviews or research. Not all readings will be discussed in detail, which requires students to use the readings as a guide for learning, and be prepared to interact with the readings as a background for their interaction.
- students will be active learners. This means that students should arrive on time, **have cell phones and computers off and out of sight during class**, and remain in class throughout the duration of the session.
- students will experience some discomfort as they work to gain skills.
- students will be respectful, open to feedback and willing to provide feedback, willing to role play clients or assume leadership roles, and be open to both personal and professional change.
- students will engage in open and respectful dialogue related to ethical dilemmas and their interactions with peers and base their comments on professional standards vs personal reactions.
- students will abide by all ethical standards governing confidentiality and professionalism.
- students will use resources in addition to the text to enhance learning and complete academic tasks.

**Professional Practice Expectations:**

- Actively listen to others and demonstrate a desire to understand other points of view
- Respond in a self-reflective and self-critical manner to feedback.
- Show motivation to master new material, examine and challenge current beliefs and practices, and increase competency in areas related to professional practice.
- Demonstrate sensitivity, awareness and acceptance of others.
• Demonstrate tolerance for the ambiguity inherent in the counseling profession and the ethical standards governing the profession.
• Assume the role of professional consultant when discussing cases and issues and discuss issues using best practice and Professional Ethical Standards.
• Demonstrate an awareness of personal values and beliefs as they pertain to and affect decision making in the counseling profession and in your interactions with others.

**Instructor Responsibilities:**

• ACA Code of Ethics pertaining to counselor educators requires that educators address limitations by engaging in ongoing evaluation and appraisal and address areas of concern that may affect the achievement of counseling competencies.
  
  In this process the instructor is bound by a responsibility:
  
  1. to inform the student of the concerns
  2. to seek professional consultation when necessary
  3. to engage the student in a problem solving process to attempt remediation

• The instructor will abide by the ACA ethical codes for counselor educators.
• CACREP: Requires assessment of a student’s academic, professional, and personal development throughout the program consistent with ACA Code of Ethics.