BUS 410-7
Regulatory Compliance
-and-
BUS 605-7
Management and Regulatory Law
3 Credit Hours

Instructor:
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Course Descriptions:

BUS 410: An introduction to the legal system of the United States which focuses on the function and authority of administrative agencies. Students explore the rights and responsibilities of individuals and business entities within the context of regulatory schemes contained in the spheres of environmental issues (such as the Environmental Protection act and the Clean Air Act) and business issues (such as the Occupational Safety and Health Act and the Federal Food, Drug and Cosmetic Act). Students will be able to identify and analyze problems found in business situations involving regulatory issues, while applying legal concepts, cases and statutes in determining a recommended course of action for individuals and organizations.

BUS 605: A brief introduction to the American legal system, including the roles of federal and state regulatory agencies. Several regulatory schemes are studied, including EEOC, EPA, OSHA, FDA, and SEC. Current legal topics of interest to supervisors and managers (e.g., sexual harassment and American Disabilities Act) are discussed. Students examine the rights and responsibilities of individuals and organizations in American industry, and identify appropriate managerial actions related to specific regulatory situations.

If unfamiliar, students may also be introduced to other legal concepts including contracts and torts as applied to a business or managerial setting, as well as regulatory schemes and administrative agencies not listed in the above descriptions. Choice of regulatory agencies to examine in depth may be tailored to the professional interests of the students.

This course is an elective for undergraduate students and required for MAM graduate students. It is a survey course which will expose students to a wide variety of topics related to management. Masters students will be held to a higher standard than undergraduate students as to presentation expectations.
We will also be engaging in an optional project regarding the book Give and Take, which is highly relevant to students interested in management. All students must buy the book and will be expected to read it. It is an engaging and quick read. Assignments related to this text will be optional and scored for extra credit.

Readings: For the first class, please read and be prepared to discuss Chapters 2 & 3 of the text. A complete list of assignments for the semester will be distributed.

Course Objectives - Upon completion of this course students will:
1. Develop a general understanding of how law affects their particular businesses and identify what administrative agency or regulatory scheme governs their business or professions.
2. Develop a general understanding of major regulatory schemes, enabling them to spot potential regulatory issues in real situations.
3. Apply legal concepts to management decisions regarding regulatory issues.
4. Develop presentation skills, including fielding questions from the audience.
5. Demonstrate analytical skills through class discussion and asking thoughtful questions of student presenters.
6. Increase awareness of ethical dilemmas encountered when making managerial decisions.

Learning Strategies:
1. Class discussion of the text and decisions presented by examples and case studies therein.
2. Reading text, cases, statutes and regulations.
3. Research of a particular regulatory issue chosen by the student.
4. Individual presentation, including fielding questions from the audience.

Attendance/Assignment Policy: I expect you to be here and be prepared. I reserve the right to adjust your grade up or down a letter based upon poor or excellent class participation. If you are planning to be gone for vacations, etc., plan on discussing it with me.

Methods of Assessment: Students will be scored on their individual presentation and related research. A list of requirements and considerations for that will be distributed in class. Students will also be graded on the 1) frequency of their participation in class, 2) whether their comments reflect that they have completed the required reading, 3) whether they are able to make relevant comments showing application of the concepts studied, as well as insight into various managerial options when confronted by decisions. The instructor reserves the right to give quizzes or exams if needed to demonstrate mastery of the subject matter. Scale: A = 90-100 B= 80-89 C= 70-79 D= 60-69 F= below 60

Assignments: A more detailed list of assignments will be distributed the second week of class. Students should expect to contribute to class discussion, participate in an individual research project and give a presentation lasting about 30 minutes. There will also be an additional, optional reading assignment for additional points.
**Academic Integrity Policy:** The Doane College Academic Integrity Policy will be adhered to in this class. All projects and assignments will represent your own work. Any use of other’s ideas and words without proper citation of sources is plagiarism and will result in penalties.

**Legal Problems:**

Nothing in this class should be interpreted as me giving you legal advice. If you have a legal problem, you should seek counsel to give you personalized advice. Additionally, as a rule I do not represent students in their personal legal matters while they are current students or will be students of mine in the future, as I believe that creates a conflict of interest.

**Office Hours:**

I will try to be available on campus 20 minutes prior to class to take questions or visit with students. If you want to set aside time to discuss grading, references, law school admissions or anything else, please feel free to call or email me to set aside some time for a private discussion. I am happy to help students where I can.